

Third Call for Proposals Report

May 2011

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LIST OF ABBREVIATIONS

NTE	National Technical Expert
RCU	Regional Coordination Unit
NCU	National Coordination unit
AIT	Asian Institute of Technology
W-T-E	Waste-to-Energy
EE&C	Energy Efficiency & Conservation
EEP	Energy and Environmental Partnership
MW	Mega Watt
Lao PDR	Lao People Democratic Republic
VTT	Technical Research Center of Finland
UNEP	United Nations Environment Programme
MFA	Ministry for Foreign Affairs

I. Introduction

During the 4th Steering Committee meeting on 18-19 December 2010, it was agreed that a new call for proposals should be opened in the first quarter of 2011. Thus, the Third Call was launched on 17 January 2011. Deadline for project proposal submission was 11 March 2011. Just like the 2nd Call, submission of proposals was done electronically.

With the programme's desire to receive proposals with increased emphasis on socio economic and environment benefits, the proposal form and evaluation grid were modified accordingly.

Three major differences from the previous two calls took place during the Third Call. These were:

1. Targeted Call in Thailand. Thailand opted for a targeted call, limiting the projects to certain technical focus and activities while the calls in the other three Partner Countries were opened to all technical focuses and activities.
2. Policy Development and Strategic Studies were excluded from the list of proposed activities. These two activities will be opened for special calls depending on the need of each Partner Country.
3. The National Technical Experts (NTEs) were involved in the evaluation of the proposals for the first time.

As in the previous calls, Information Seminars were held in all Partner Countries. One each in Cambodia (Phnom Penh) and Vietnam (Hanoi) and two each in Thailand (Bangkok and AIT) and Lao PDR (Luang Prabang and Champasak).

II. Response to the Third Call

Just as in the Second Call, a total of 105 proposals were received during the Third Call, All proposals were considered for the initial compliance and relevance check by the RCU and NCU respectively.

The distribution by country is shown in Figure 1.

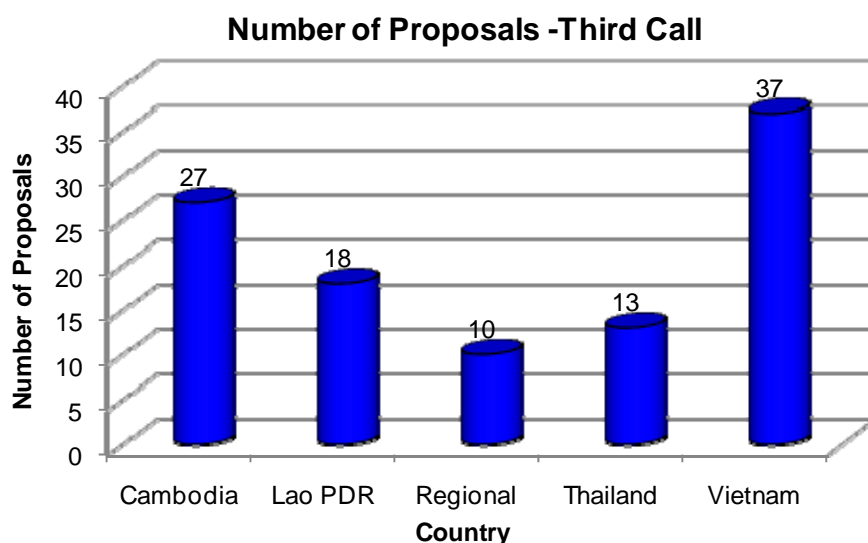


Figure 1 : Number of Third Call Project Proposals per Country

As Policy Development and Strategic Study were no longer included in the Third Call, proposals were classified into five activity categories only instead of seven categories in the previous calls.

Similar to the 2nd Call, the highest number of proposals was in the category of pilot and demonstration, with a combined total of 66 projects in the Third Call against 59 projects in the previous call.

The number of proposals by activity is shown in Figure 2.

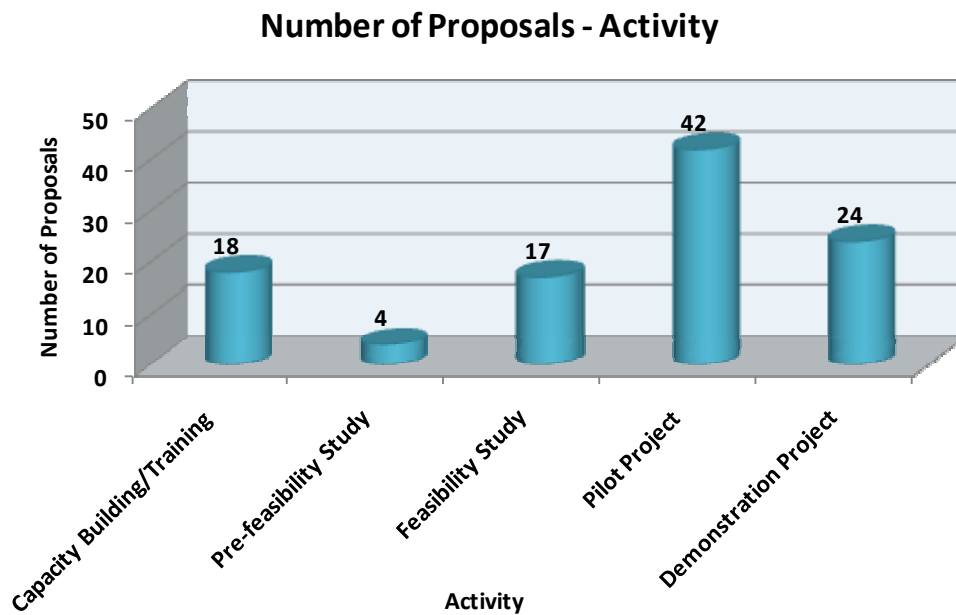
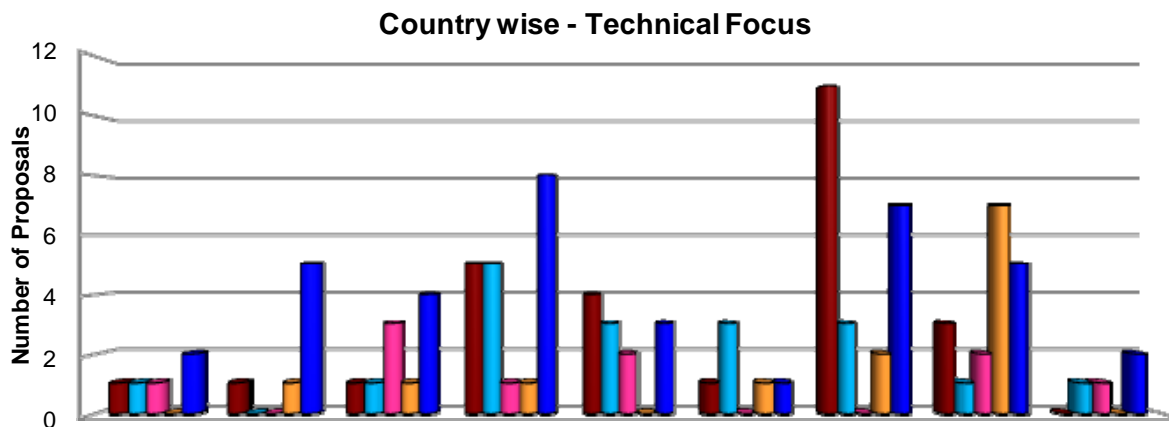


Figure 2 : Number of Project Proposals by Activity.

Figure 3 shows the distribution of proposals by country and by technical focus.



	Biofuels	Biogas	Biomass	EE&C	Hybrid	Hydropower	Solar	W-T-E	Wind
■ Cambodia	1	1	1	5	4	1	11	3	0
■ LaoPDR	1	0	1	5	3	3	3	1	1
■ Regional	1	0	3	1	2	0	0	2	1
■ Thailand	0	1	1	1	0	1	2	7	0
■ Vietnam	2	5	4	8	3	1	7	5	2

Figure 3 : Third Call for Project Proposals by Technical Focus for each Country

III. Evaluation Process

a. Sorting out the Proposals

Like for the Second Call, thanks to the on line submission of project proposal, the sorting out process for the Third Call proposals did not require to physically check the matching of titles with the ID numbers as the proposal ID was automatically allocated on submission. The ID contained the number of the call, the initial of the country of origin and the chronological order the proposal submission.

b. Initial Screening: Compliance and Relevance

Similar to the First and Second Calls, proposals were screened by RCU and NCU with inputs from the Embassy of Finland as to their compliance with EEP objectives and submission guidelines as well as their relevance to the national energy and environmental needs of each of the EEP Mekong partner countries. As mentioned earlier, the two project activities from the previous calls namely Policy Development studies and Strategic Studies were excluded during the Third Call.

The key aspects of the guidelines include the following:

- Proposals must be submitted with at least one partner (Lead Applicant and Partner).
- Proposals must meet the energy objective of EEP.
- Hydro proposals must be for projects of 10 MW or less.
- Production output of bio fuel projects must be solely for local sale.
- A signed hard copy of the proposals must be submitted to respective NCUs and RCU, for Regional projects.
- The projects proposals from Thailand must fall under the following Technical Focuses - Biomass, Biofuels, Waste-to-Energy, Hydropower and Solar only.
- The project activities for Thailand are limited to Pilot and Demonstration Projects

The electronic form automatically screens compliance of the following guidelines:

- Maximum amount of EEP contribution: € 200,000
- Maximum project duration: 15 months
- Country of Origin: Cambodia, Lao PDR, Thailand, Vietnam or Regional

Proposals that did not comply with the above guidelines were not able to proceed with submission.

c. Results of the Compliance and Relevance Screening

Upon completion of the Compliance and Relevance screening, 20 proposals were eliminated, thus, 85 proposals were eligible for evaluation.

Two project proposals from Thailand were submitted under Feasibility study activity. Thus, these were eliminated during the initial screening for non-compliance with the guidelines.

Table 1 shows the reasons for elimination and the number of eliminated proposals from each country while figure 4 shows the number of evaluated proposals by country.

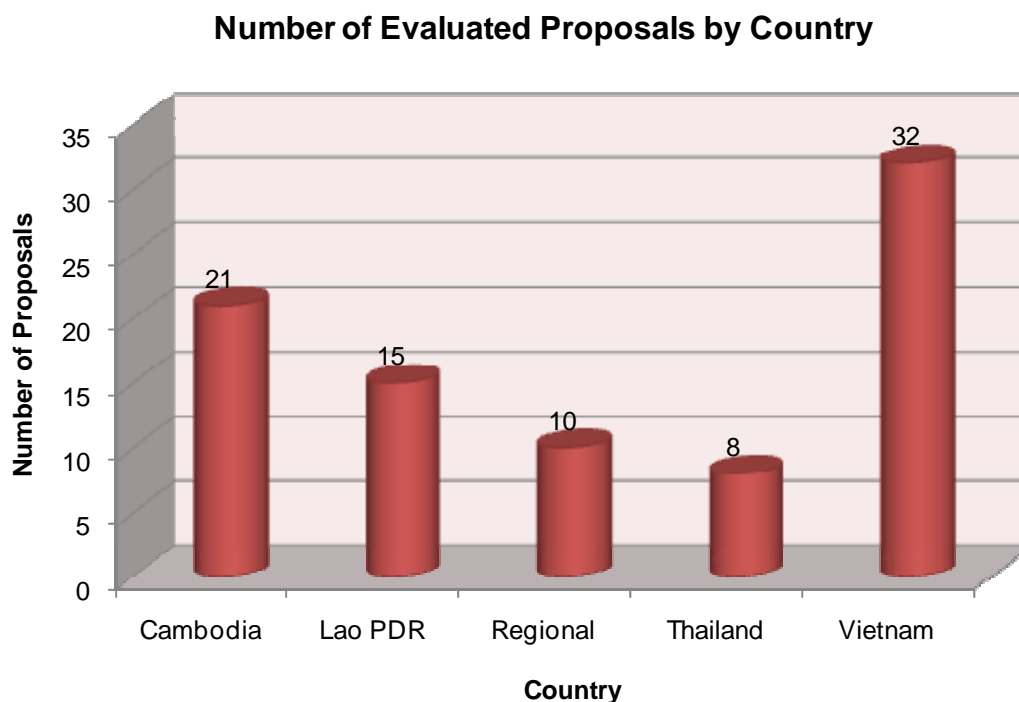


Figure 4 : Number of Project Proposals Evaluated by Country

Table 1 : Reason for and number of projects eliminated

Reason	Cambodia	Lao PDR	Thailand	Vietnam	Regional
No Contribution			2		
No Local partner	1		1	4	
No Project partner	3	2		1	
No energy component	1	1			
Non eligible Activity / Others	1		3		
Total	6	3	6	5	0

The total number of proposals which were considered for evaluation based on the activities is represented in Figure 5

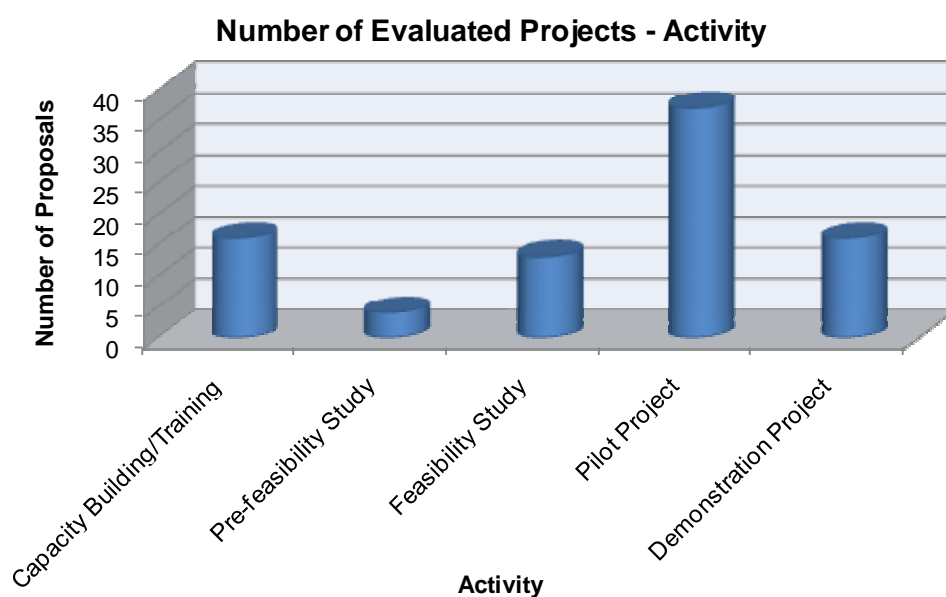


Figure 5 : Number of Project Proposals Evaluated by type of Activity

The total number of proposals from each country which were considered for evaluation based on the activities is represented in Figure 6.

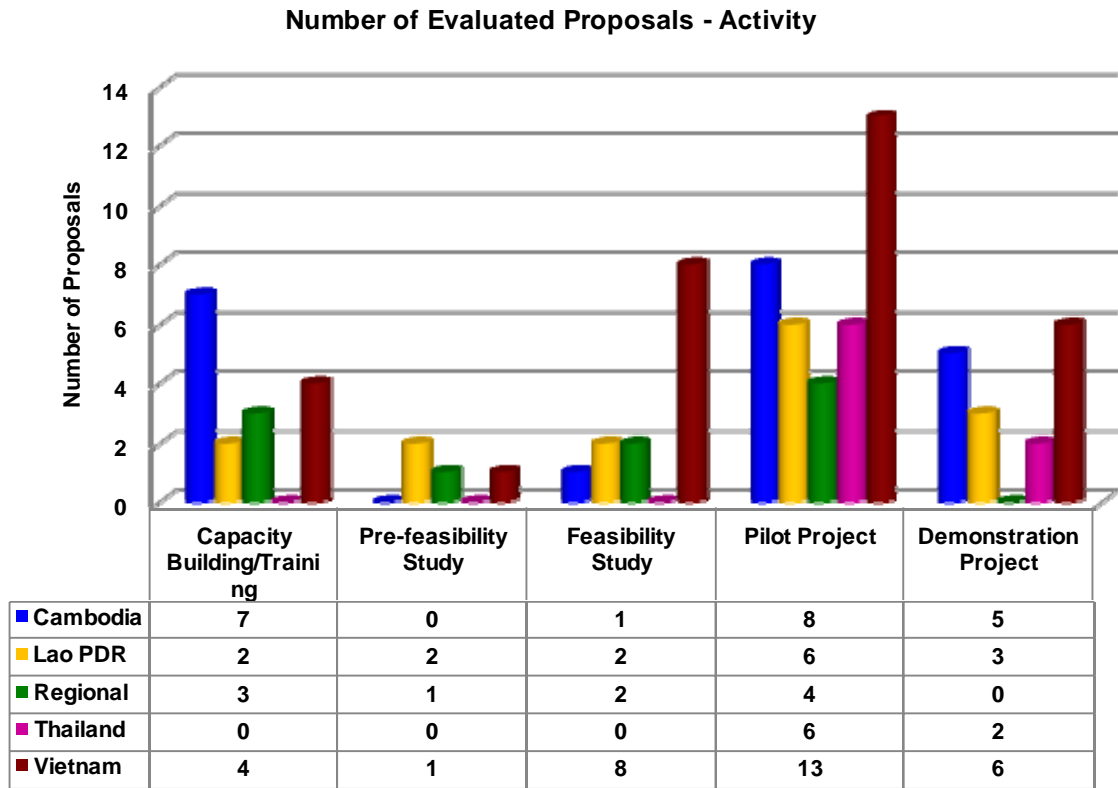


Figure 6 : Number of Evaluated Project Proposals by Country and Activity

Figure 7 shows the number of projects that were considered for evaluation by country and technical focus.

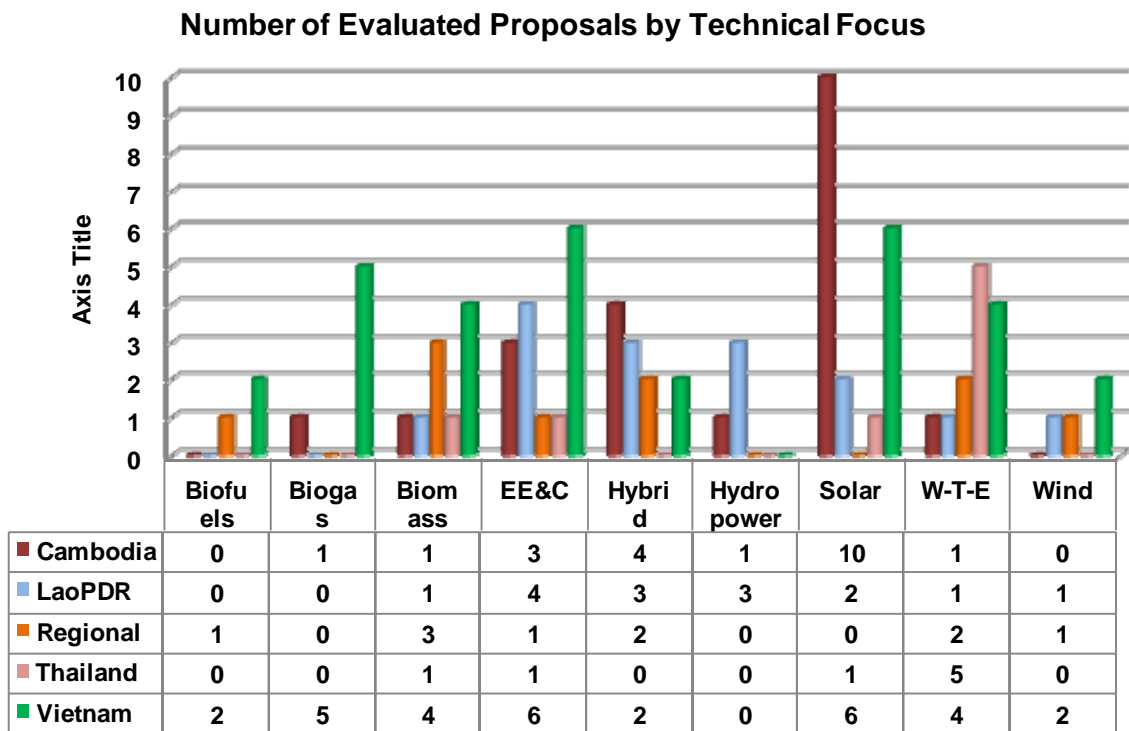


Figure 7 : Number of Evaluated Project Proposals by Country and Technical Focus

d. The Evaluation Team

The Evaluation Team is composed of the following members:

Table 2 : Evaluation team

Name of Organisation	Number of Evaluators
NTE	3
AIT	7
Motiva	8
VTT	5
RCU	2
Climate Change Network, UNEP	1
Total	26

e. Evaluation of Proposals

The proposals were evaluated based on the following criteria and each question was given a maximum ranges from 3 to 8 points depending on the importance of each questions in the criteria:

	Criteria	Points
➤	Project/business idea or concept and logic	20
➤	Impact, sustainability, replicability and spread	40
➤	Innovation, learning and dissemination	10
➤	Budget, cost-effectiveness and financing	10
➤	Management, resources and partnership	20
	Total:	<u>100</u>

IV. Evaluation Results

During its Fifth Meeting held in Khao Yai, Thailand, during May 19-20, 2011, the EEP Steering Committee has selected twenty one new projects.

Out of 105 project proposals that were submitted, 85 projects underwent the evaluation process.

The identification numbers of the projects that were pre-selected by the Steering Committee, their country of implementation, their technical focus and their field of activity are:

Proposal ID	Country	Technical Focus	Field of Activity
3-C-019	Cambodia	Hybrid	Capacity Building/Training
3-C-074	Cambodia	Solar	Pilot Project
3-C-078	Cambodia	Solar	Capacity Building/Training
3-C-081	Cambodia	Biomass	Pilot Project
3-C-095	Cambodia	Hybrid	Pilot Project
3-L-031	Lao PDR	Biomass	Pilot Project
3-L-049	Lao PDR	Wind	Pre-feasibility Study
3-L-068	Lao PDR	Hydro	Pilot Project

3-R-091	Regional	Hybrid	Capacity Building/Training
3-T-005	Thailand	Waste-to-Energy	Demonstration Project
3-T-101	Thailand	Waste-to-Energy	Pilot Project
3-V-010	Vietnam	Energy Efficiency/Conservation	Demonstration Project
3-V-020	Vietnam	Wind	Feasibility Study
3-V-024	Vietnam	Biogas	Pilot Project
3-V-025	Vietnam	Solar	Pilot Project
3-V-040	Vietnam	Biogas	Pilot Project
3-V-042	Vietnam	Solar	Pilot Project
3-V-043	Vietnam	Biomass	Pilot Project
3-V-048	Vietnam	Energy Efficiency/Conservation	Pilot Project
3-V-050	Vietnam	Energy Efficiency/Conservation	Pre-feasibility Study
3-V-053	Vietnam	Bio-fuels	Pilot Project

V. Next Stages

Table 3 : Future activities

Milestone	Responsible Persons/Organisation	Timing
Pre-selection of proposals	Steering Committee	19-20 May 2011
Pre-selected proposals lead applicants advised to prepare full project proposals	RCU	Week of 23-27 May 2011
Submission of full project proposals	Pre-selected proposal applicants	27 June 2011
Evaluation of proposals	Evaluators	28 June - 25 July 2011
Contracting	RCU/MFA/Lead Applicants	From August to September 2011
Implementation	RCU/NTE/Lead Applicant and Partners	From September 2011